

NIP-239

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Y. KIYOTOKI et al

Serial No. 09/939,591

Group Art Unit:

1RECEIVEL

OCT 0 3 2002

TECHNOLOGY CENTER R3700

Filed: August 28, 2001

For: CORROSION-RESISTING AND WEAR-RESISTING

ALLOY AND DEVICE USING THE SAME

## INFORMATION DISCLOSURE STATEMENT (IDS) UNDER § 1.97 AND § 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

This IDS should be considered:

- PECEIVEL TC 17002 when filed within three months of the filing date of the present application, or within three months of the filing date of the National Stage as set forth in § 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever occurs last;
- (b) when filed before the mailing date of either a Final Rejection under § 1.113 or a Notice of Allowance under § 1.311, whichever occurs first and when 1(a) does not apply. For this purpose, there is included herein either a certification in section 4 below (included when indicated by a marked box), or a fee of \$180.00 (a check in the amount of \$180.00 is enclosed, or if not see section 5 below);
- (c) when filed prior to the payment of the Issue Fee, when 1(a)-(b) do not apply, and when a certification is included in section 4 below (included when indicated by a marked box); then the Applicant(s) hereby petition(s) and request(s) consideration of this IDS, and provided herewith is a fee of \$180.00 (a check in the amount of \$180.00 to cover the petition fee, or if not see section 5 below).
- When 1(a)-(c) do not apply, then it is requested that this IDS be placed in the file.

RECEIVED OCT 0 2 2002

TECHNOLOGY CENTER R3700

- 3. Listing of the information submitted is on the attached Form PTO-1449, which forms a part of this IDS. A copy of each listed document is enclosed.
  - 4. The undersigned hereby states:
- ☒ (a) that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application, as indicated on the copy of the communication submitted herewith, which communication was dated not more than three months prior to the filing of this IDS; or
- $\square$  (b) that no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of this IDS.
- 5. If a fee or additional fee is required, the Commissioner is hereby authorized to charge any fee or additional fee that may be required and credit any excess to Deposit Account No. 50-1417.
- 6. No explanation of relevancy is being provided for the documents because their relevance is as stated in a communication from a foreign patent office in a counterpart foreign application, copy enclosed.
- 7. If the PTO determines that part(s) of the required content is inadvertently omitted, then it is requested that the Applicant(s) be given additional time and specific identification of such omission(s) to enable full compliance.

Respectfully submitted,

Strinath Malux

Registration No. 34,66

Attorney for Applicants

MATTINGLY, STANGER & MALUR 1800 Diagonal Rd., Suite 370 Alexandria, Virginia 22314 (703) 684-1120

Date: February 28, 2002

<u>1</u> Sheet of

FORM PTO-1449 (REV. 7-80) LIST OF DOCUMENTS CITED BY APPLICANT

**EXAMINER** 

S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. ATENT AND TRADEMARK OFFICE NIP-239

SERIAL NO. 09/939,591

APPLICANT

FILING DATE

Y. KIYOTOKI et al

August 28, 2001

**GROUP** 1772

(Use several sheets if necessary)

**U.S. PATENT DOCUMENTS** 

EXAMINER INITIAL		DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE (If Appropriate)
	AA	4,789,412	12/06/88	Nakamura et al			
	АВ						
	AC						
-	AD	,		<b>A</b> .			
	ΑE			RECE			
	AF			MAROUED			
	AG			7002			
	АН			7700			
	ΑI			90			
	AJ						
	AK						

## **FOREIGN PATENT DOCUMENTS**

		DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANS YES	LATION NO
	AL	7-16610	01/20/95	Japan (w/ English Abstract)	0			
	ΑМ	62-267413	11/20/87	Japan (w/ English Abstract)				
	AN	54-40220	03/29/79	Japan (w/ English Abstract)				
_ /	40	2,308,625	07/02/97	Britain (w/English translation)				
	AΡ	6-57360	03/01/94	Japan (w/ English Abstract)				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	1 CASC	7
	AS		RECEIVED
	АТ		OCT 0 2 2002
AMINER		DATE CONSIDERED	TECHNOLOGY GENTLE

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.